

FINA 307: Series 7 Prep

An exam preparation course covering topics related to the SIE and General Securities Registered Representative Exam (Series 7). Expose students to topics currently covered by the SIE and Series 7 examination including (but not limited to) corporate, equity/fixed-income securities, local/state/federal government, securities, derivative securities, and investment company products. This course is not affiliated with FINRA, the Series 7, exam provider, and does not exempt students from the examination eligibility requirements.

Credits: 3

Prerequisites/Permissions:

FINA 311, FINA 312 (C), B-School Major/Minor

Program:

Finance

COURSE DESCRIPTIONS